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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sun et al

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Group Art No.: 12681-001
OFFICE

Serial No.: 09/911,081

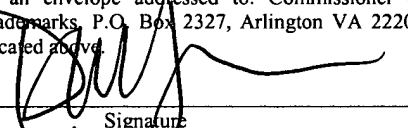
Examiner: Not Yet Assigned

Filed: July 20, 2001

Atty. Docket No.: 32149631.5

For: Broadband Ethernet Multicasting

REQUEST FOR CHANGE OF STATUS AND
REQUEST FOR FEE REFUND

<p align="center">CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)</p> <p>DATE OF DEPOSIT: <u>21 November 2001</u></p> <p>I, Donna Yarbrough, hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 2327, Arlington VA 22202 on the date indicated above.</p> <p align="center"> _____ Signature</p> <p align="center"><u>21 November 2001</u> _____ Date</p>
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Commissioner of Patents
P.O. Box 2327
Arlington VA 22202

Dear Sir:


Applicants respectfully request Small Entity Status as set forth in 13 C.F.R. 121.201. Applicants filed the above-referenced application on July 20, 2001 claiming Small Entity Status. Applicants filed a Response to Notice to File Missing Parts on October 11, 2001 requesting a change to Large Entity Status. The request to change to Large Entity Status was made in error.

At the time of filing the Response to Notice to File Missing Parts, Applicants submitted Large Entity fees in the amount of \$840. This error resulted in overpaid fees in the amount of

\$420. Applicants respectfully request a refund of fees in the amount of \$420 pursuant to 37 C.F.R. 1.28(a). Applicants request that the refund be credited to Deposit Account 13-0480, Attorney Docket No. 32149631.5.

The Applicant believes that no fees are required in connection with this filing. However, to the extent that fees are required, the Commissioner is authorized to charge those fees, or credit any overpayment to Account No. 13-0480, Attorney Docket No. 32149631.5.

Respectfully submitted,



Brian C. McCormack
Reg. No. 36,601

ATTORNEY FOR APPLICANTS

Date: November 21, 2001
BAKER & MCKENZIE
2001 Ross Avenue, Suite 2300
Dallas, TX 75201
214/978-3007
214/978-3099 fax

Del/Ref
#307PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/911,081
Filing Date	07/20/2001
First Named Inventor	Sun et al
Group Art Unit	2661
Examiner Name	Not yet assigned
Attorney Docket Number	32149631.5

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☒ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☒ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - Request for Change of Status
 - Postcard Acknowledgement

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameBrian C. McCormack
BAKER & MCKENZIE

Signature

Date

11/21/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 2327 Arlington VA 22202 on this date:

11/21/2001

Typed or printed name

Donna Yarbrough

Signature

Date

11/21/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.